

10/577561

IAP12 Rec'd PCT/PTO 28 APR 2006

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.:	Based on PCT/JP2004/0016457	Art Unit:	tba
Filed:	Intl. Filing 29 OCTOBER 2004	Examiner:	tba
1 st Inventor:	Satoru OI	Allowed:	
For:	Pyridine Compounds as Inhibitors of Depeptidyl Peptidase IV	Batch:	
Atty. Dkt. No.	3202 USOP	Paper No.:	

Information Disclosure Statement

MAIL STOP PCT
P.O. Box 1450
Commissioner for Patents
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 CFR §1.56, 1.97 and 1.98, Applicants request consideration of the references listed on the attached Forms PTO/SB/08A and PTO/SB/08B. A legible copy of each listed reference is herewith being provided to the Examiner.

This Information Disclosure Statement is being submitted within 3 months of the filing date of the above-identified application, and before the mailing date of the first Office Action on the merits, thus, no certification or fee is required.

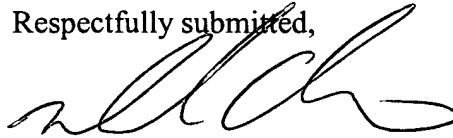
Applicants respectfully request that the listed documents be considered by the Examiner and formally be made of record in the present application and that an initialed copy of the attached forms be returned in accordance with MPEP §609.

Should the Examiner believe that a conference with Applicants' attorney would advance prosecution of this application, the Examiner is respectfully requested to call Applicants' attorney.

Dated: 9/28/06

(847) 383-3372
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Respectfully submitted,



Mark Chao, Ph.D., Reg. No. 37,293
Elaine M. Ramesh, Ph.D., Reg. No. 43,032
Attorney for Applicants
Customer No. 23115

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Intellectual Property Department
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PTO/SB/08A (08-03)

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Substitute for form 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet

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of 5

Complete if Known

Application Number	Based on PCT/JP2004/016457
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Filing Date	Intl. Filing 29 OCT 2004
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First Named Inventor	Satoru O
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Art Unit	tha
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Examiner Name	tba
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Attorney Docket Number	3202US0P
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U. S. PATENT DOCUMENTS

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FOREIGN PATENT DOCUMENTS

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Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)			
	A4	WO 97/40832 - Abstract only	11-06-1997	Hans-Knoll-Institut	
	A5	WO 01/72290 A2	10-04-2001	Pro-Biodrug Gesellschaft	
	A6	WO 99/38501	08-05-1999	Trustees of Tufts Univ.	
	A7	WO 01/47514 A1	07-05-2001	Novartis AG	
	A8	WO 01/62266 A2	08-30-2001	Novo Nordisk A/S	
	A9	WO 02/083109 A1	10-24-2002	Ferring BV	

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)				
	A10	WO 03/045228 A2	06-05-2003	Trustees of Tufts Coll. etal		
	A11	WO 99/61431 -Abstract only	12-02-1999	Pro-Biodrug Gesellschaft		
	A12	EP 0742208A1	11-13-1996	Grelan Pharmaceutical Co.		
	A13	WO 01/97808 A1	12-27-2001	Smithkline Beecham PLC		
	A14	JP 64-029979 - Abstract only	01-31-1989	Mitsubishi Electric Corp.		
	A15	WO 01/52825 A2	07-26-2001	Novartis AG		

Date
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	A16	WO 2003/068757 A1	08-21-2003	F. Hoffmann-LaRoche AG		
	A17	WO 2004/031374 A2	04-15-2004	Probiobdrug AG		
	A18	WO 2004/104216 A2	02-12-2004	Bayer Healthcare AG		
	A19	WO 2004/017989 A1	04-03-2004	Probiobdrug AG		
	A20	WO 92/20659	11-26-1992	Monsanto Company		
	A21	WO 2004/014860 A2	02-19-2004	Takeda Chemical Industries		

Date
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Examiner Name	tba		
Attorney Docket Number	3202US0P		
Sheet	5	of	5

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	A23	G.V. PAVEL, et al., "Condensation of Aldehydes and Ketones. XVIII. Aminomethylation of Methylene- and Benzylidenediacetophenone", Khimiya Geterotsiklicheskikh Soedinenii, (1968), 3: 484-486	✓
	A24	A. SAMMOUR, et al., "Base Catalyzed Addition Reaction with Diaroyl Ethylenes and Some Chalcones", Egypt. J. Chem. (1977), 19(6): 989-1011	

Examiner Signature		Date Considered	
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